

**Electronic Filing System (EFS) Data**  
**Electronic Patent Application Submission**  
**USPTO Use Only**

EFS ID: 12600  
Application ID: 09682730  
Title of Invention: CIRCUIT BREAKER  
INCORPORATING FAULT  
LOCKOUT PROTECTION  
First Named Inventor: Robert Morris  
Domestic/Foreign Application: Domestic Application  
Filing Date: null  
Effective Receipt Date: 2001-10-10  
Submission Type: Utility Patent Filing  
Filing Type: new-utility  
Confirmation Number: 0  
Attorney Docket Number: 98-1949-P  
Digital Certificate Holder: cn=Timothy Olson, ou=Registered Attorneys, ou=Patent and  
Trademark Office, ou=Department of Commerce, o=U.S.  
Government, c=US  
Certificate Message Digest: aiLo3mSsNil/RVzXrhdrw==  
Total Fees Authorized: \$972.0  
Payment Category: DA - Deposit Account  
Deposit Account Number: 61130  
Deposit Account Name: Timothy J. Olson



# TRANSMITTAL FORM



Electronic Version 1.0.2

Stylesheet Version: 1.0

Attorney Docket Number: 98-1949-P

## CIRCUIT BREAKER INCORPORATING FAULT LOCKOUT PROTECTION

First Named Inventor: Mr. Robert A. Morris

### SUBMITTED BY

Name:	Mr. Timothy J. Olson
Registration Number:	42962
Electronic Signature Mark: ~TJO~	Date Signed: 20011010

*I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.*

*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

bibd-transmittal	ePaveapds.xml
patent-assignment	ePaveasgn.xml
fee-transmittal	ePavefee.xml
specification	SPECIFICATION.xml
declaration	declaration_pg1.tif
declaration	declaration_pg2.tif

declaration

declaration\_pg3.tif

**Attached Image File(s):**

declaration\_pg1.tif

declaration\_pg2.tif

declaration\_pg3.tif

DECLARATION

**Comments:**

**COMBINED DECLARATION AND**  
**POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are stated below my name.

I believe I am an original, first and join inventor of the subject matter which is claimed and for which a patent is sought on the invention as described in United States patent application entitled: **"CIRCUIT BREAKER INCORPORATING FAULT LOCKOUT PROTECTION"** (Docket No. 41PR-9058).

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) any PCT international application designating the United States of America, listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States of PCT international application in the manner provided by the first paragraph of 35 U.S.C.112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

United States Application Serial Number 09/473,420 filed on December 29, 1999

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me, which is material to patentability (as defined in 37 C.F.R. Sec. 1.56) in connection with the examination of this application. I also acknowledge the duty to disclose all information known to me to be material to patentability which becomes available between the date of the prior application and the national or PCT international filing date of any continuation-in-part application.

I hereby appoint Ronald E. Myrick, Reg. No. 26,315 of GENERAL ELECTRIC COMPANY (W3E), 3135 Easton Turnpike, Fairfield, CT 06431-0001; Henry J. Policinski, Reg. No. 26,602, Jay L. Chaskin, Reg. 24,030, James W. Mitchell, Reg. 25,602, Bernard Snyder, Reg. No. 24,030, and John F. Beninati 40,510 all of GENERAL ELECTRIC COMPANY (W3D), 3135 Easton Turnpike, Fairfield, CT 06431-0001; Carl B. Horton, Reg. No. 34,622, Damian G. Wasserbaugh, Reg. No. 34,749, Wayne O. Traynham, Reg. No. 29,872 and Dave S. Christensen, Reg. No. 40,955 all of GENERAL ELECTRIC COMPANY, 41 Woodford Avenue, Plainville, CT 06061; Philmore H. Colburn II, Reg. No. 35,101, Keith J. Murphy, Reg. No. 33,979, David A. Fox, Reg. No. 38,807, Timothy J. Olson, Reg. No. 42,962 and Leonard E. Heyman, Reg. No. 40,418 of Cantor Colburn LLP, 55 Griffin Road South, Bloomfield, CT 06002, jointly, and each of them severally, my attorneys and attorney, with full power of substitution, delegation and revocation to prosecute this application, to make alternations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith, I hereby

direct that all correspondence and telephone calls in connection with this application be addressed to the said Philmore H. Colburn II at Cantor Colburn LLP 55 Griffin Road South, Bloomfield, CT 06002, Telephone No. (860) 286-2929.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that all such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Robert A. Morris

Inventor's Signature: *Robert A. Morris* Date: 8-10-2001

P.O./Residence Address: 56 Nassahegan Drive, Burlington, CT 06013

Citizenship: British

Full name of first inventor: Edgar Yee

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

P.O./Residence Address: 102 Marin Place, Chapel Hill, NC 27516

Citizenship: USA

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Full name of first inventor: Robert A. Morris

Inventor's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

P.O./Residence Address: 56 Nassahegan Drive, Burlington, CT 06013

Citizenship: British

Full name of first inventor: Edgar Yee

Inventor's Signature: Edgar Yee Date: 8/30/01

P.O./Residence Address: 102 Marin Place, Chapel Hill, NC 27516

Citizenship: USA

# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 972**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 06-1130



Deposit Account Name: Cantor Colburn LLP

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

## SUBMITTED BY

Authorized Name: Timothy J. Olson

Electronic Signature Mark: ~TJO~

Date Signed: 20011010

## BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

## EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 26	103	\$ 18	6	\$ 108
Independent Claims: 4	102	\$ 84	1	\$ 84

Subtotal For Extra Claims Fees: \$ 192



ADDITIONAL FEES

Fee Description	Fee Code	Fee Paid
Recording Each Patent Assignment Per Property Fee	581	\$ 40

Subtotal For Additional Fees: \$ 40

total of fees